### **EXPRESS MAIL NO. EV887975416US**





# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	10/507,429	
Filing Date	September 10, 2004	
First Named Inventor	Hisaji Oyake	
Art Unit	1756	
Examiner Name	Martin J. Angebranndt	
Attorney Docket No.	890050.503USPC	

ENCLOSURES (check all that apply)							
Fee Transmittal Form    Fee Attached	Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Request for Refund CD, Number	A B Ir A T R S S S C Ir C Ir C Ir C Ir C Ir C Ir C I	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard				
SIGNATI	IRE OF APPLICANT, ATTORNE	, OR AC					
Firm Name Seed Intelle	ectual Property Law Group PLLC		Customer Number 00500				
Signature Rayd	Matront						
Printed Name Raymond W. Armentrout							
Date January 9,	2007 Reg.	No.	45,866				
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Signature **	SENT VIA EXPRESS MAIL **						
Typed or printed name	Date:						

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, 889515\_1.DOC

EXPRESS MAIL NO. EV887975416US Feesagus vant to the Consolidated Appropriations Act. 2005 (H.R. 4818). Complete if Known **Application Number** 10/507.429 FEE TRANSMITTAL Filing Date September 10, 2004 First Named Inventor Hisaii Oyake For FY 2006 **Examiner Name** Martin J. Angebranndt Applicant claims small entity status. See 37 CFR 1.27 **Art Unit** 1756 Attorney Docket No. TOTAL AMOUNT OF PAYMENT (\$)180 890050.503USPC METHOD OF PAYMENT (check all that apply) Credit Card Other (please identify): \_\_ X Check Money Order Deposit Account Deposit Account Number: 19-1090 Deposit Account Name: Seed IP Law Group PLLC For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments Charge any underpayments or credit any overpayments of fee(s) under 37 CFR 1.16 and 1.17 Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FILING FEES** SEARCH FEES **FEES** Small **Small Entity Small Entity Entity Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Provisional 200 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity Fee Description** Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims Multiple Dependent Claims Extra Claims** Fee (\$) Fee Paid (\$) -20 or HP = Х Fee Paid (\$) Fee (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims **Extra Claims** Fee (\$) Fee Paid (\$) -3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)) the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets Extra Sheets** Number of each additional 50 or fraction thereof Fee Paid (\$) Fee (\$) -100 = /50 = (round up to a whole number)

## OTHER FEE(S) Non-English Specific

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Submission of Information Disclosure Statement

180

Fees Paid (\$)

SUBMITTED BY

Signature	Rydellitte	Registration No. (Attorney/Agent)	45,866	Telephone	206-622-4900
Name (Print/Type)	Raymond W. Armentrout			Date	January 9, 2007



**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hisaji Oyake et al.

Application No. : 10/507,429

Filed: September 10, 2004

For : METHOD FOR PROCESSING A PHOTORESIST-COATED

GLASS BOARD, A METHOD FOR MANUFACTURING A STAMPER FOR A RECORDING MEDIUM, A METHOD FOR

MANUFACTURING A RECORDING MEDIUM, A

PHOTORESIST-COATED GLASS BOARD, A STAMPER FOR A

RECORDING MEDIUM, AND A RECORDING MEDIUM

Examiner : Martin J. Angebranndt

Art Unit : 1756

Docket No. : 890050.503USPC

Date : January 10, 2007

Mail Stop Amendment Commissione for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

#### Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Supplemental Information Disclosure Statement. Copies of cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of any other cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

01/11/2007 SSITHIB1 00000095 10507429

The Information Disclosure Statement is provided because of the Examiner's seven-way double patenting rejection (U.S. Patent Application Nos. 10/493,301, 10/495,746, 10/500,008, 10/500,719, 10/500,816, 10/500,893, and 10/515,404), with which Applicants do not agree with and believe is improper. However, if the Examiner believes that the claims of the related cases are sufficiently similar to warrant a double patenting rejection, the art from the related co-pending applications and related patents might be considered relevant by the Examiner of the present application. Accordingly, the art of record in the above-identified co-pending applications and pending applications, if not already disclosed, are disclosed out of an abundance of caution. This is the reason for the submission and the basis of relevance provided by Applicants' attorney.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 CFR 1.97(c). The Director is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Raymond W. Armentrout Registration No. 45,866

RWA:ir

**Enclosures:** 

Check Supplemental Information Disclosure Statement Cited References (48)

701 Fifth Avenue, Suite 5400 Seattle, Washington 98104 Phone: (206) 622-4900

Fax: (206) 682-6031

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Sheet <u>1</u> of <u>4</u>



APPLICATION NO.
10/507,429
GROUP ART UNIT

1756

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

#### **U.S. PATENT DOCUMENTS**

September 10, 2004

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,259,433	03/31/81	Mizobuchi et al.	430	296	
	AB	4,447,519	05/08/84	Pritikin	430	275	
	AC	4,615,969	10/07/86	Strand	430	320	
·****	AD	4,737,447	04/12/88	Suzuki et al.	430	321	
	AE	4,861,699	08/29/89	Wijdenes et al.	430	321	
	AF	4,964,958	10/23/90	Legierse et al.	204	5	
	AG	5,230,772	07/27/93	Kadomura	156	643	
	АН	5,360,873	11/01/94	Ohkawa et al.	525	193	
	AI	5,501,926	03/26/96	Cheng et al.	430	5	
	AJ	5,635,267	06/03/97	Yamada et al.	428	64.4	
	AK	5,674,115	10/07/97	Yamashita et al.	451	289	
	AL	5,948,592	09/07/99	Umehara et al.	430	270.1	
·	AM	6,127,100	10/03/00	Shimizu	430	320	
	AN	6,238,846	05/29/01	Tacken	430	320	
	AO	6,477,136	11/05/02	Sakurai et al.	369	275.4	
•	AP	6,562,550	05/13/03	Takahata et al.	430	320	
-: .	AQ	6,699,643	03/02/04	Asukata	430	315	
	AR	6,874,262	04/05/05	Nishiyama et al.	40	321	
•	AS	6,927,016	08/09/05	Sano	430	321	
	АТ	2003/0063553	04/03/03	Oyake et al.	369	272	
	AU	2003/0170564	09/11/03	Kido et al.	430	270.11	
	AV	2005/0065345	03/24/05	Tsuchida et al.	546	225	

**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applican(s).

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#### ATTY. DOCKET NO. APPLICATION NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 890050.503USPC 10/507,429 **APPLICANTS** Hisaji Oyake et al. SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE GROUP ART UNIT September 10, 2004 1756 FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES JP 52-154403 12/22/77 X 53-123095 10/27/78 JP BB X 57-172736 10/23/82 JP (+ Abstract in English) BC 59-093448 05/29/84 JP (+ Abstract in English) BD 2-198835 08/07/90 JP (+ Abstract in English) BÉ 3-180476 08/06/91 JP (+ Abstract in English) BF 4-263140 09/18/92 JP (+ Abstract in English) BG JP (+ Abstract in English) 4-263141 09/18/92 BH 5-214547 08/24/93 JP (+ Abstract in English) ВΙ 03/04/94 JP (+ Abstract in English) 6-060436 BJ 6-150392 05/31/94 JP (+ Abstract in English) BK 6-182346 07/05/94 JP (+ Abstract in English) BL 6-215422 08/05/94 JP (+ Abstract in English) BM 7-147026 06/06/95 JP (+ Abstract in English) BN 8-062835 03/08/96 JP (+ Abstract in English) BO 8-185643 07/16/96 JP (+ Abstract in English) BP 96/32521 10/17/96 WIPO (+ Abstract in English) BQ 8-273219 10/18/96 JP (+ Abstract in English) BR 8-283950 10/29/96 JP (+ Abstract in English) BS 8-315425 11/29/96 JP (+ Abstract in English) вт 9-109276 04/28/97 JP (+ Abstract in English) BU 9-171952 06/30/97 JP (+ Abstract in English) ΒV **EXAMINER** DATE CONSIDERED \* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applican(s).

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U.S. DEPARTMENT OF C PATENT AND TRADEMA			ATTY, DOCKET NO. 890050.503USPC APPLICANTS	APPLICATION NO. 10/507,429	
SUPPLEMENTAL INFORMATION DIS		FEMENT	Hisaji Oyake et al.		
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	FOREI	GN PATEN	T DOCUMENTS	•	
DOCUMENT NUMBER	DATE		COUNTRY		TRANSLATION
са 9-292715	11/11/97	JP (+ Abs	tract in English)		
св 9-306893	11/28/97	JP (+ Abs	tract in English)		
сс 10-134425	05/22/98	JP (+ Abs	tract in English)		
CD 10-204328	08/04/98	JP (+ Abs	tract in English)		
се 10-241213	09/11/98	JP (+ Abs	tract in English)	:	
сғ 11-084673	03/26/99	JP (+ Abs	tract in English)		
cg 2000-021033	01/21/00	JP (+ Abs	tract in English)		
сн 2000-040267	02/08/00	JP (+ Abs	tract in English)		
сі 2000-113520	04/21/00	JP (+ Abs	tract in English)		
сл 2000-113526	04/21/00	JP (+ Abs	tract in English)		
ск 2000-137324	05/16/00	JP (+ Abs	tract in English)		
CL 2000-280255	10/10/00	JP (+ Abs	tract in English)		
см 2001-126309	05/11/01	JP (+ Abs	tract in English)		
CN 2001-148122	05/29/01	JP (+ Abs	tract in English)		
. co 2001-184734	07/06/01	JP (+ Abs	tract in English)		
СР 2001-202661	07/27/01	JP (+ Abs	tract in English)		
cq 2001-232943	08/28/01	JP (+ Abs	tract in English)		
CR 2001-344831	12/14/01	JP (+ Abs	tract in English)		
cs 2001-357571	12/26/01	JP (+ Abs	tract in English)		
ст 2002-050043	02/15/02	JP (+ Abs	tract in English)		
cu 2002-050087	02/15/02	JP (+ Abs	tract in English)		
cv 2002-056539	02/22/02	JP (+ Abs	tract in English)		
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. 890050.503USPC APPLICANTS	APPLICATION NO. 10/507,429	<u> </u>		
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				TEMENT	Hisaji Oyake et al.  FILING DATE		
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<del></del> .	CA	2002-072489	03/12/02		tract in English)		
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